### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL
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Estimated average burden
hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| (Print or Ty   | pe Response   | s)  |                       | 1  |   |      |                  |      |                     |   |                      |  |   |   |                     |   |                                 |   |          |
|--|---------------|---|-----------------------|--|---|------|------------------|------|---------------------|---|----------------------|--|---|---|---------------------|---|---------------------------------|---|----------|
| Name and Address of Reporting Person * Sloss Dakin                       |               |   |                       |  | 2. Issuer Name and Ticker or Trading Symbol Heliogen, Inc. [HLGN] |      |                  |      |                     |   |                      |  | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) DirectorX10% Owner  |   |                     |   |                                 |   |          |
| (Last) (First) (Middle)<br>P.O. BOX 12829                                |               |   |                       | 3. Date of Earliest Transaction (Month/Day/Year) -06/28/2022 - |   |      |                  |      |                     |   | Office               | er (give title belo  | ow)   | Other (specify                            | below)              |   |                                 |   |          |
| JACKSON, WY 83002  |               |   |                       |  | 06/30/2022  |      |                  |      |                     |   |                      |  | 6. Individual or Joint/Group Filing(Check Applicable Line) Form filed by One Reporting Person X_ Form filed by More than One Reporting Person |   |                     |   |                                 | ne)   |          |
| (City  |               | (State)                                   | (Zip)                 |  |   | Ta   | able I           | - No | n-E                 | Derivative S                                      | Seci                 | urities  | Acqui   | red, Disp                                 | osed of, or l       | Beneficially  | Owned                           |   |          |
| 1.Title of Security<br>(Instr. 3)  |               | 2. Transaction<br>Date<br>(Month/Day/Year | Execut<br>any         | A. Deemed<br>Execution Date, if<br>ny<br>Month/Day/Year)       |   | Code |                  | 1    | (Instr. 3, 4 and 5) |   | ed (A)               | 5. Amount of Securities<br>Beneficially Owned Following<br>Reported Transaction(s)<br>(Instr. 3 and 4) |   | 6. Ownership Form: Direct (D) or Indirect | of Ir<br>Ben<br>Owr | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |                                 |   |          |
|  |               |   |                       |  |   |      | Code             | ,    | v                   | Amount  |                      | (A)<br>or<br>(D)   | Price   |   |                     |   | (I)<br>(Instr. 4)               |   |          |
| Common   | Stock         |   | 06/28/2022            |  |   |      | S <sup>(1)</sup> |      |                     | 1,028,65  | 54                   |  |   | 2 18,901                                  | 1,623               |   | I                               | See foo (2) (   | tnote    |
| Common   | Stock         |   | 06/29/2022            |  |   |      | S <sup>(1)</sup> |      |                     | 1,405,43  | 34                   |  | •   | 3 17,496                                  | 5,189               |   | I                               | See<br>foo<br>(2) (   | tnote    |
| Common   | Stock         |   | 06/30/2022            |  |   |      | S <sup>(1)</sup> |      |                     | 225,786   |                      |  | S<br>1.9918<br><mark>7)</mark>  | 3 17,270                                  | ),403               |   | I                               | See<br>foo  | tnote    |
| Common   | Stock         |   |                       |  |   |      |                  |      |                     |   |                      |  |   | 6,668,                                    | 457                 |   | I                               | See foo   | tnote    |
| Reminder:  | Report on a s | separate line                             | e for each class of s | I - Deriv  | ative Sec   | urit | ties Ac          | quii | Pe<br>cc<br>th      | ersons whontained in<br>e form dis                | no r<br>n th<br>spla | nis for<br>ays a c<br>or Bene  | m are<br>currer<br>eficiall   | not requally valid                        | OMB con             | formation<br>spond unle<br>trol numbe                             | ess                             | C 1474  | 1 (9-02) |
| 1. Title of Derivative Security (Instr. 3)  Price of Derivative Security |               | 3. Transaction 3A. Deemed                 |                       | Date, if   | 4.<br>Transact<br>Code  | ion  | 5. 6<br>Number a |      | 6.<br>ar<br>(N      | and Expiration Date Month/Day/Year)  Ar Ur Se (Ir |                      | 7. Ti<br>Amo<br>Unde<br>Secu   | r. 3 and F  |   | Derivative          | Owner<br>Form of<br>Deriva<br>Securit<br>Direct<br>or Indi        | ship of Herical Street (D) rect | 11. Natur<br>of Indirect<br>Beneficia<br>Ownershi<br>(Instr. 4) |          |
|  |               |   |                       |  | Code  | V    | (A)              | (D)  | E                   |   | Exp<br>Dat           | piration<br>te   | Title   | Amount<br>or<br>Number<br>of<br>Shares    |                     |   |                                 |   |          |

## **Reporting Owners**

|                                | Relationships |              |         |       |  |  |
|--------------------------------|---------------|--------------|---------|-------|--|--|
| Reporting Owner Name / Address | Director      | 10%<br>Owner | Officer | Other |  |  |
|                                |               |              |         |       |  |  |

| Sloss Dakin<br>P.O. BOX 12829<br>JACKSON, WY 83002                | X |  |
|---|---|--|
| Prime Movers Lab Fund I LP<br>P.O. BOX 12829<br>JACKSON, WY 83002 | X |  |
| Heliogen PML SPV 1 LP<br>P.O. BOX 12829<br>JACKSON, WY 83002      | X |  |
| Prime Movers Lab GP I LLC<br>P.O. BOX 12829<br>JACKSON, WY 83002  | X |  |
| Prime Movers Lab GP II LLC<br>P.O. BOX 12829<br>JACKSON, WY 83002 | X |  |

#### **Signatures**

| /s/ Taylor Frankel, Authorized Person on behalf of the General Partner of each Reporting Person | 07/12/2022 |
|---|------------|
| **Signature of Reporting Person   | Date       |
| Dakin Sloss /s/ Taylor Frankel, Attorney- in- fact  | 07/12/2022 |
| **Signature of Reporting Person   | Date       |

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This amendment is filed solely to correct the source of the shares reported as sold and to correct a technical error that caused the omission of Prime Movers Lab GP I LLC ("PML GP") and Prime Movers Lab GP II LLC ("PML GP II") as reporting persons.
- (2) Held by Prime Movers Lab Fund I LP ("PML"). PML GP is the general partner of PML.
- (3) Held by Heliogen PML SPV 1 LP ("Heliogen PML"). PML GP II is the general partner of Heliogen PML.
- Dakin Sloss is the manager of PML GP and PML GP II and as a result may be deemed to have or share beneficial ownership the securities held by PML and Heliogen PML.

  (4) Mr. Sloss disclaims any beneficial ownership of the securities reported herein for purposes of Section 16 of the Securities Exchange Act of 1934, as amended (the "Exchange Act"), except to the extent of its pecuniary interest therein.
- (5) The price of \$2.8162 per share represents a weighted average of purchase prices ranging from \$2.485 to \$3.13 per share. The reporting person undertakes to provide upon request by the Commission staff, the issuer, or a security holder of the issuer, full information regarding the number of shares purchased or sold at each separate price.
- (6) The price of \$2.0243 per share represents a weighted average of purchase prices ranging from \$1.96 to \$2.63 per share. The reporting person undertakes to provide upon request by the Commission staff, the issuer, or a security holder of the issuer, full information regarding the number of shares purchased or sold at each separate price.
- The price of \$1.9918 per share represents a weighted average of purchase prices ranging from \$1.86 to \$2.21 per share. The reporting person undertakes to provide upon request by the Commission staff, the issuer, or a security holder of the issuer, full information regarding the number of shares purchased or sold at each separate price.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.